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DOCKET NO. 00AN170



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In repatent application of

Applicant:

Gerald I. Swift, et al.

Serial No.:

09/870,052 May 30, 2001

Filed: For:

ENCAPSULATED ARMATURE ASSEMBLY AND METHOD ENCAPSULATION AN ARMATURE

ASSEMBLY

Art Unit:

2834

Examiner:

Heba Elkassabgi

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.:

Filing Date:

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

- 2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):
 - (a) ____ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
 - (b) ____ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
 - 3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
 - (a) ____ Within 3 months of the filing date or date of entry into the National Stage.
 - (b) ____ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the

4.

(c) <u>X</u>	absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
(1)_	The required certification is given below, or
(2) _2	The Commissioner is authorized to charge \$180.00 to Deposit Account No.: 50-1063 (Ref. No. ALBRP209US)
(d)	After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
(1)	Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or
Certificat	tion (if applicable)
(a)	The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
(p)	The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.
	Respectfully Submitted

AMIN & TUROCY, LLP

Himanshu S. Amin Reg. No. 40,894

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CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being sent via facsimile to: Art Unit 2800 (703) 872-9306, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 6-24-04

Himanshu Ş. Amin

PTO/SB/08A (10-01)

Approved for use through 10/31/2002, OMB 0651-0031

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Substitute for form 1449A/PTO SUPPLEMENTAL INFORMATION DISCLOSURE			Complete if Known		
			Application Number	09/870,052	
			Filing Date	May 30, 2001	
STATEMENT BY AP	PLICANT		First Named Inventor	Gerald Swift, et al.	
(Use as many sheets as necessary)			Art Unit	2834	
			Examiner Name	Heba Elkassabgi	
Sheet 1	of	1	Attorney Docket Number	00AN170 / ALBRP209US	

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Examiner Initiala		Document Number Number - Kind Code ² (If Known	Publication Date MM-DD-YYYY	Name of Palantee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS						
Examiner	CHa No.1	Foreign Patent Document	Publication Date	Name of Palentee or	Pages, Columns, Unes, Where Relevent Passages	
Initials No	VIO	Country Code 3 -Number 4 - Kind Code 6 (# Incom)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	T
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Examiner Signature	Date Considered
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^{*}EXAMINER: intital if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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